

# **Community Health Worker: Reporting and Submission Instructions**

Version 2.0

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**Prepared by:**

Allan Collaunt Associates, Inc.  
1974 Sproul Road  
Suite 401  
Broomall, PA 19008  
(610) 359-1210

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# Community Health Worker: Reporting and Submission Instructions

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This document contains instructions for Federally Qualified Health Centers (FQHCs) and Community Based Organizations (CBOs) who will be reporting and submitting data to CABHC about the Program's Community Health Workers engagement with Members. This document can also be found online at <https://www.cabhc.org/resources/provider-reporting/>.

## New in This Version

The process for reporting on Community Health Worker engagement has been updated as follows:

1. The reporting process, template and these instructions have been updated to accommodate Community Based Organizations (CBOs).
2. The reporting form header has been updated. Provider name is now a dropdown. Once the name is selected, the site location and provider ID fields are automatically filled in, saving data entry time and ensuring accuracy.
3. Place of Service "11: Office" has been added to the Place of Service dropdown in the reporting form body. This value is to be used by CBOs to reflect any services rendered at the CBO, as there is no Medicaid-approved place of service code for CBO.
4. The width of various columns in the reporting form has been expanded to allow more room to accommodate data, and additional rows have been added to accommodate entry of additional records per month.
5. The validation process for MA IDs has been updated. If an identifier with fewer than 10 digits is entered, ACA will attempt to derive the correct ID by calculating the check digit and/or determining if leading zeros (0s) may have been lost. Also, the process will allow the entry of 999999999 as a placeholder MA ID for recipients served who are known not to be eligible for HealthChoices in a CABHC County.

## Overview

CABHC is working with ACA to manage the overall process of receiving and processing information received from providers, and will be using a product called Sync. Sync is a secure cloud storage solution that allows users to manage files from external sources, such as providers, via an end-to-end encrypted process. Providers do not need to purchase Sync to use it. Designated staff will be using links emailed to them by CABHC to upload files to the CABHC Sync web portal. ACA will then retrieve and process these files via an automated mechanism. Please note that the file includes protected health information (PHI) and to maintain compliance with HIPAA guidelines, CABHC will only accept files submitted via Sync. Do not transmit any files via encrypted or unencrypted email.

## Provider Set Up Tasks

Providers need to complete the following set up tasks to participate in the file sharing process:

1. Provider Identification:
  - FQHCs - Identify the specific service location (by 13-digit PROMISe™ ID) of the Community Health Worker (CHW), and share this list with CABHC
  - CBOs – Identify the organization by 9-digit Tax ID

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2. Designate staff who will be responsible for submitting files to CABHC and provide their contact information to CABHC
3. Keep both the service location and staff lists current with CABHC staff

## Form Completion

Providers will be using Excel spreadsheets to report and submit data to CABHC. The spreadsheet template is designed to standardize the data, so it can be read and processed by ACA’s automated process. It has two tabs (worksheets), the Reporting Form and the Reporting Instructions.

The Reporting Form has two parts, the header and the body. The header is at the top of the Reporting Form and will be used to record the following information:

1. Provider Name – Select the name of the FQHC Clinic, as identified in the PerformCare contract, or the name of the CBO as recognized by CABHC, from the dropdown box
2. Site Location – Once the Provider Name has been selected, the Site Location address will be automatically populated. NOTE: Services must be reported at the location where the CHW supported the member. If a CHW works with a member at more than one location, separate forms must be submitted.
3. Provider ID – Once the Provider Name has been selected, the 13-digit identifier will be automatically populated as follows:
  - FQHCs – The 13-digit PROMiSe™ ID of the FQHC Clinic location
  - CBOs – The 9-digit Tax ID of the CBO, with 4 zeros appended at the end to create a 13-digit identifier

NOTE: The Provider ID must be a 13-digit number to be accepted. The dropdown ensures that this requirement is met; providers will not have to do anything so long as the value in the dropdown is not overwritten. However, if data is entered via another method that causes the dropdown to be overwritten, providers using Tax IDs will have to ensure that the identifier in this field contains 13 digits by adding 4 zeros to any 9-digit Tax ID to create a 13-digit number.

4. Month – enter the month and year for services rendered using format MM/YYYY. Providers should submit one form per month listing all services rendered to all recipients during that month – do not submit separate forms for each recipient
5. Date of Submission – enter the date the file is being submitted using format MM/DD/YYYY

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The form has built-in checks that will prevent common data entry mistakes. For example, if numbers are transposed while entering a date, an error will be displayed and the data will not be accepted.

CBCMP Community Health Worker Services Reporting Form									
Provider Name									
Site Location									
Provider ID									
Month									
Date of Submission	24/51								
Version	4.0								
Last Name	First Name	MA ID (aka CIS#)	Date of Birth	Date of s				Community resources	Transportation

Invalid Date of Submission

Must be a valid date (e.g. 10/29/2020)

Below the header is the body of the form. This is where all service information will be entered. Each encounter should be recorded on its own line as follows:

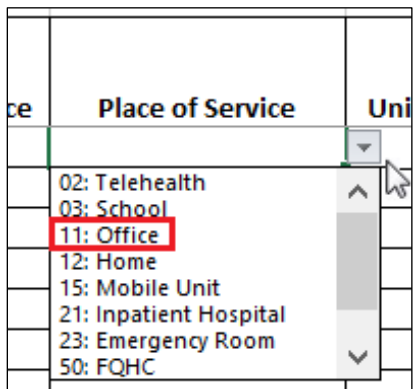
1. Last Name – enter the recipient’s last name as indicated on the person’s Medicaid enrollment information
2. First Name – enter the recipient’s first name as indicated on the person’s Medicaid enrollment information
3. MA ID (aka CIS #) – enter the recipient’s 10-digit Medical Assistance ID (include the validation digit). NOTE: The MA ID is also called the recipient CIS #. This number consists of the 9-digit ID plus a 10<sup>th</sup> digit that is a validation digit. It’s best for Providers to enter all 10 digits if available, but if only 9 digits are available, enter those 9 and ACA will calculate the 10<sup>th</sup> validation digit during processing

**For any recipient known not to be eligible for HealthChoices in a CABHC County, enter the 10-digit number 9999999999 as a placeholder for the MA ID. Only do this if it is known that the recipient is not eligible for HealthChoices in a CABHC County. Do not use this placeholder as a substitute for the MA ID if the individual is known to be HealthChoices eligible in a CABHC County.**

4. Date of Service – enter the date service was rendered to the recipient
5. Place of Service – choose one value from the dropdown list of Medicaid-approved place of service codes:

**CBOs should choose value “11: Office” for any services rendered at the CBO, as there is no Medicaid-approved code for CBO.**

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6. Units – enter the number of units for the service provided. Units must be entered in 15-minute increments. One unit = 15 minutes. The first unit may be rounded up to 15 minutes. All subsequent units must be in full 15 minute increments
7. Service Provided – Place an X in **each** cell corresponding to the services rendered. At least **one** service must be checked. If more than one service was rendered, multiple cells in the row may be checked

NOTE: It’s important to complete all required columns for each service. Also, do not try to change the names of columns, or to add or delete any columns.

## Entering Multiple Interactions with a Recipient on the Same Day

Sometimes a provider may have more than one interaction with a recipient on the same day. When this occurs, enter the information as follows:

- If service is rendered in more than one interaction with the recipient on the same date, and at the same place of service, enter all the services on the same line, with the total number of units for all interactions combined. For example, the following image reflects how a provider would record two 15-minute interactions for a total 30 minutes of service, both on the same day, during which Care Coordination and Transportation were addressed.

Date of Service	Place of Service	Units	Care Coordination	Community Resources	Transportation
1/2/2021	50: FQHC	2	X		X

- If service is rendered in more than one interaction with the recipient on the same date, but at different places of service, enter the services rendered at each place of service on separate lines. For example, the following image reflects how a provider would record two 15-minute interactions for a total 30 minutes of service, one at the FQHC and the other at the Emergency Room, on the same day, during which Care Coordination and Transportation were addressed.

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Date of Service	Place of Service	Units	Care Coordination	Community Resources	Transportation
1/2/2021	50: FQHC	1	X		X
1/2/2021	23: Emergency Room	1	X		X

## The Importance of File Naming Conventions

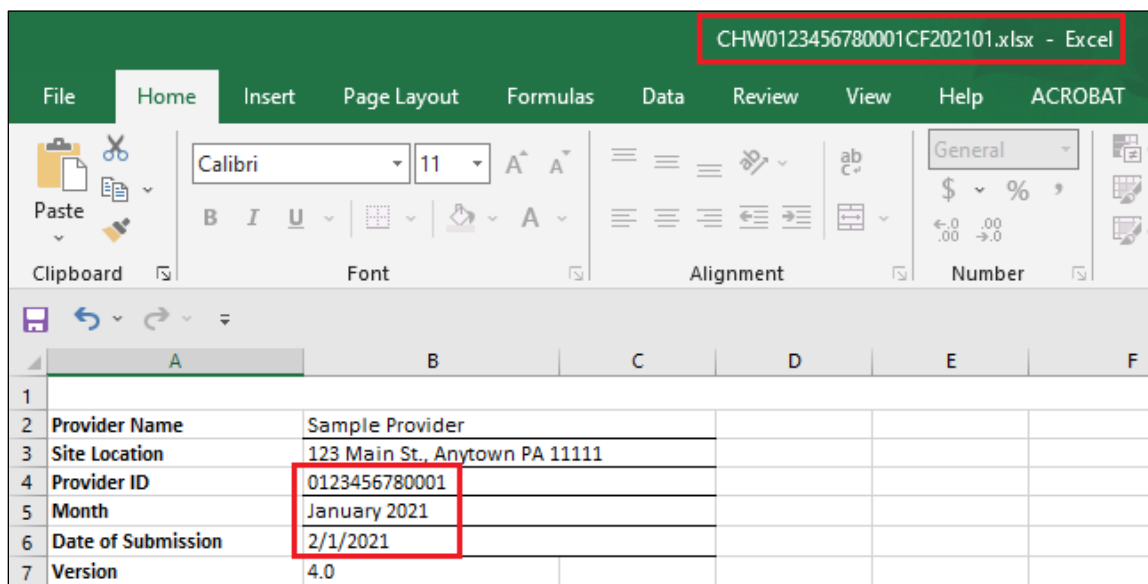
ACA will be using an automated process to handle and extract data from provider files. This automated process relies on files being named according to precise specifications. If a file isn't named correctly, it won't be recognized by the automated process.

## File Naming Requirements

Excel workbooks submitted for the Community Based Care Management Program must follow this naming convention to be recognized by ACA's automated process:

### FQHCs

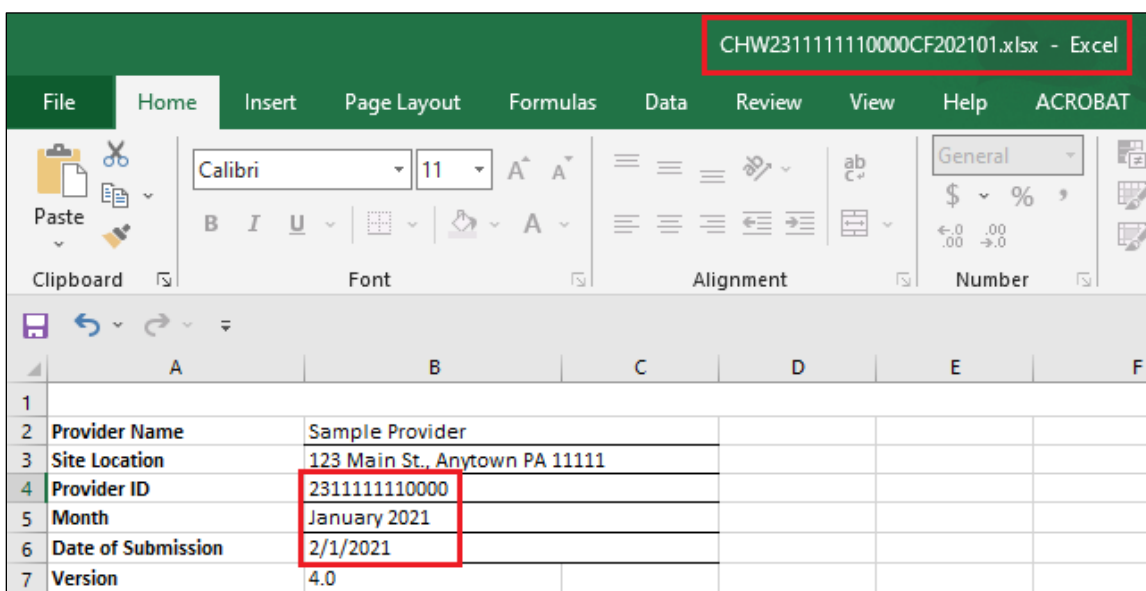
- CHW#####CFYYYYMM
  - where "CHW" is constant;
  - ##### is the same 13-digit PROMISE™ ID of the FQHC as contained in the Provider ID field in the header of the report;
  - "CF" is constant; and
  - YYYYMM is the reporting year and month (the dates of service on all encounters in a file must fall within the reporting year and month specified in the file name).
- For example, CHW0123456780001CF202101.xlsx is the correct name for the Excel workbook submitted by an FQHC with the PROMISE™ ID 0123456780001 for reporting dates of service in the month of January, 2021.



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## CBOs

- CHW#####CFYYYYMM
  - where "CHW" is constant;
  - ##### is the same 9-digit tax ID of the CBO followed by 4 zeros to create a 13-digit number as contained in the Provider ID field in the header of the report;
  - "CF" is constant; and
  - YYYYMM is the reporting year and month (the dates of service on all encounters in a file must fall within the reporting year and month specified in the file name)
- For example, CHW2311111110000CF202101.xlsx is the correct name for the Excel workbook submitted by a CBO with the tax ID 231111111 for reporting dates of service in the month of January, 2021.



If a provider wishes to submit services rendered during more than one month, they must submit separate files for each month, with the name of each file corresponding to the year and month of the encounters contained in that file.

- For example, if a provider missed their January submission and accumulated unreported encounters spanning two months (e.g., January and February), they would need to submit two files in February; one file for services that were rendered in January with the name ending in the digits '01', and another file for services rendered in February with the name ending in the digits '02'.

## Resubmissions

A file cannot be changed by anyone once it is submitted; the provider, CABHC or ACA. If it is necessary to correct or update a previously submitted file, the provider should submit the revised version using the **same file name** as the original, and with an updated Date of Submission on the spreadsheet. NOTE: If a provider needs to resubmit a file and wants to keep separate versions for their own records, they can save those versions, using a naming configuration that is determined by the provider. However, the



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name of the file resubmitted to CABHC must **always match** the original file name, so the automated process will know to overwrite the original file.

If a provider needs to correct an existing encounter already submitted, they should:

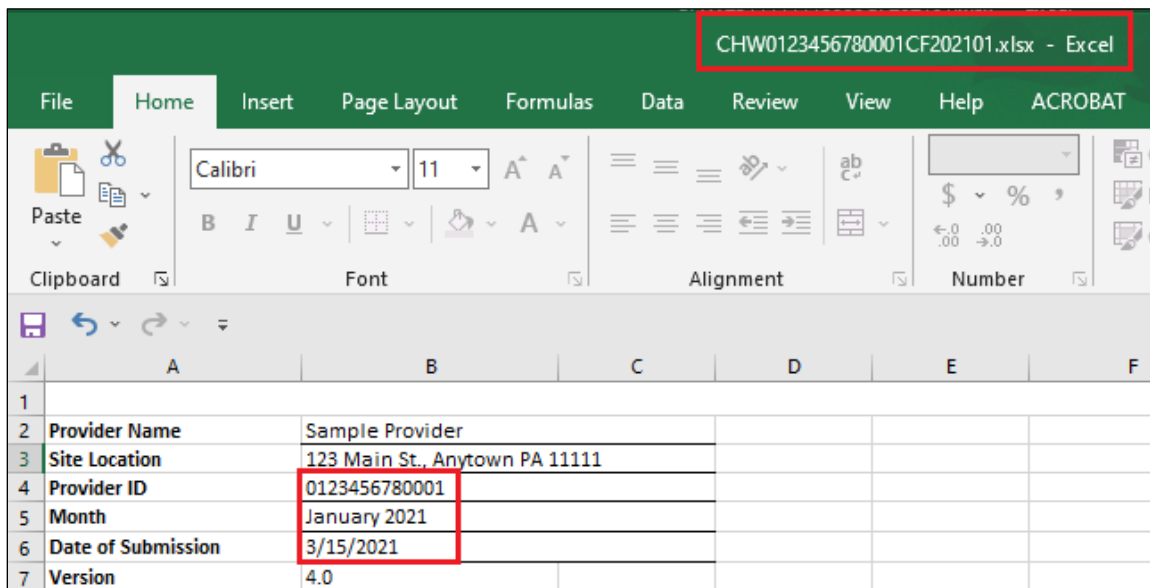
1. Open the previously submitted file
2. Correct the existing encounter
3. Leave all the remaining encounters as is
4. Change the Date of Submission on the spreadsheet to the current date
5. Save the file with the same name as before
6. Resubmit the file via Sync

If a provider needs to add encounters for a month, they should:

1. Open the previously submitted file
2. Add the additional encounters
3. Leave all the remaining service lines as is
4. Change the Date of Submission on the spreadsheet to the current date
5. Save the file with the same name as before
6. Resubmit the file via Sync

Suppose the FQHC in the previous example needs to correct their submission for services rendered in January 2021. The original file was submitted on February 1, 2021, with file name CHW0123456780001CF202101.xlsx, and a Date of Submission of 02/01/2021 on the spreadsheet.

The FQHC submits another file for January services rendered on March 15, 2021. They would use the same file name, CHW0123456780001CF202101.xlsx, and enter the Date of Submission 03/15/2021 in the header of the spreadsheet.



	A	B	C	D	E	F
1						
2	Provider Name	Sample Provider				
3	Site Location	123 Main St., Anytown PA 11111				
4	Provider ID	0123456780001				
5	Month	January 2021				
6	Date of Submission	3/15/2021				
7	Version	4.0				

And, for the CBO in the previous example resubmitting a file on March 15, 2021, the Date of Submission and file naming would be as follows:

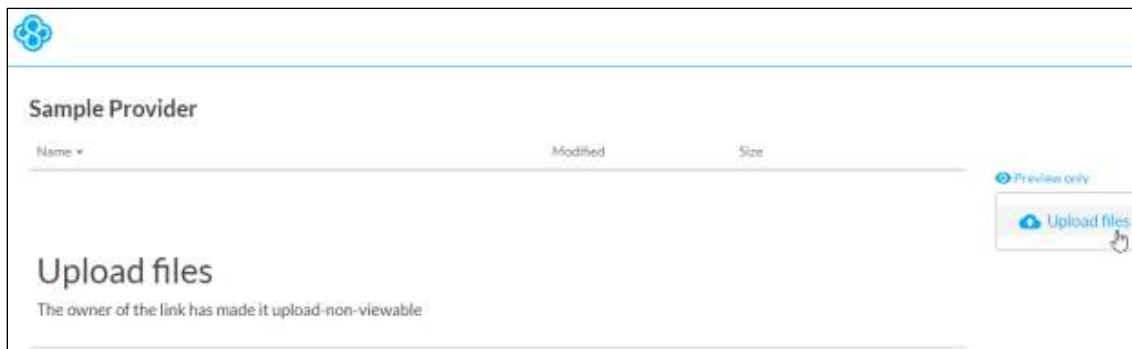
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	A	B	C	D	E	F
1						
2	Provider Name	Sample Provider				
3	Site Location	123 Main St., Anytown PA 11111				
4	Provider ID	231111110000				
5	Month	January 2021				
6	Date of Submission	3/15/2021				
7	Version	4.0				

For any given reporting month, the report with the latest submission date will replace any previously submitted service lines for the same reporting month.

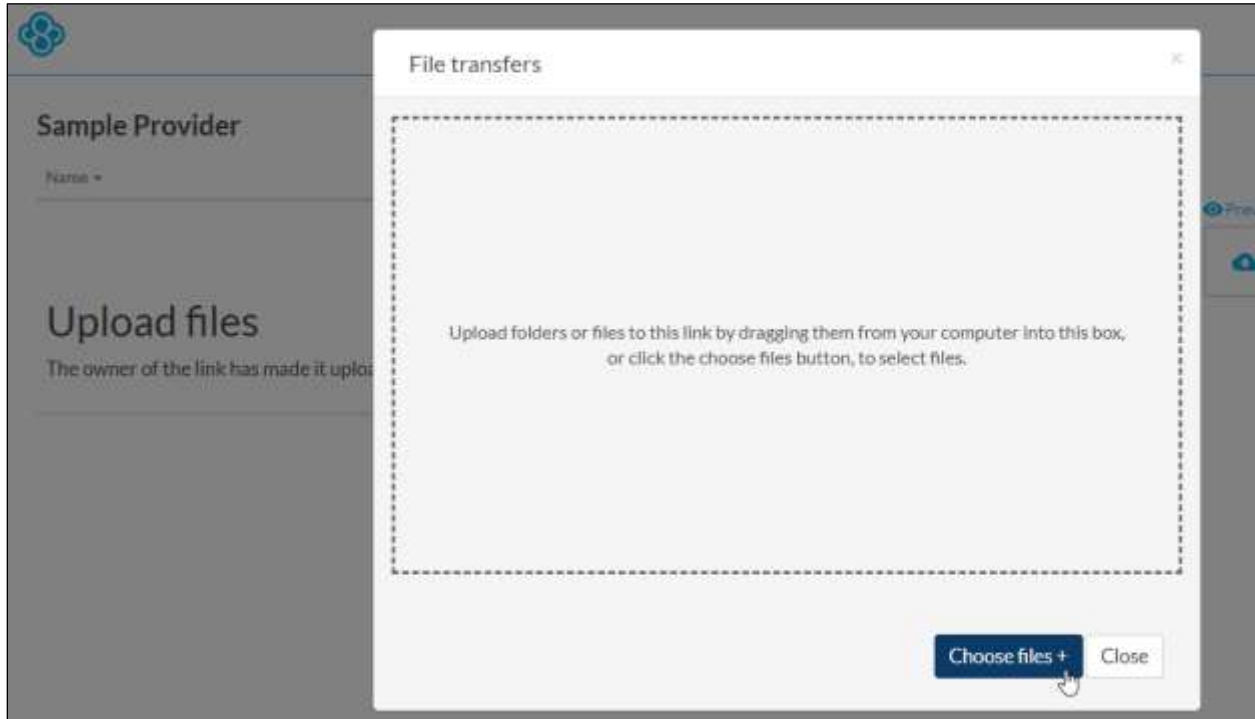
## Submission Steps for Providers

CABHC will create and send a link to designated provider staff. When the provider staff clicks the link, they will have access to their Sync folder.

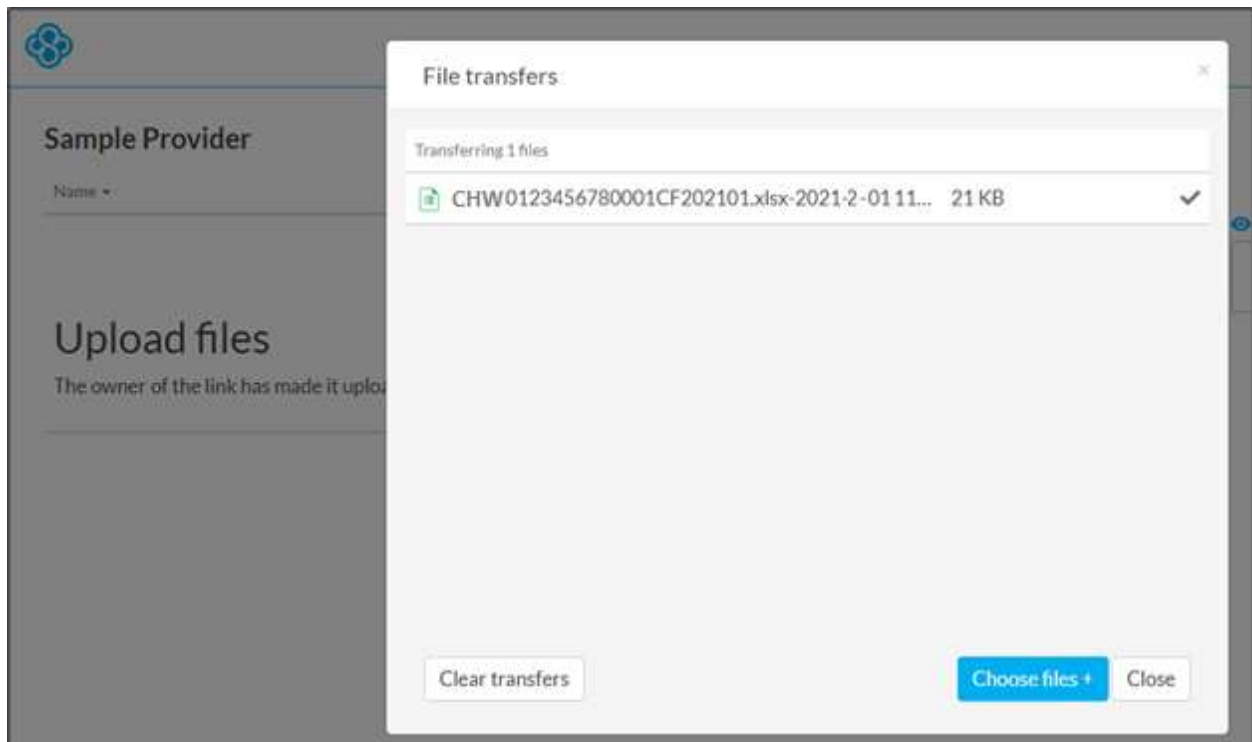


The provider staff can then click Upload files and choose the file to upload.

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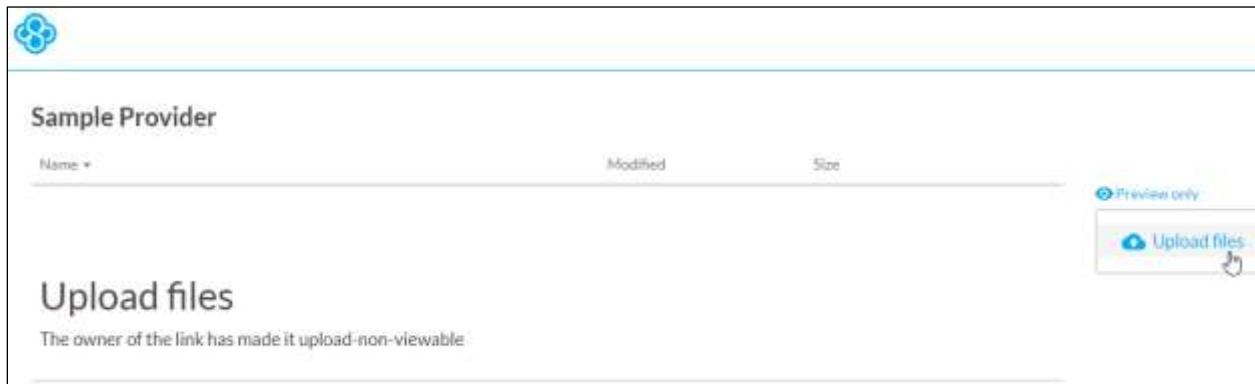
Sync will display the name of the file being uploaded in the File transfers window.



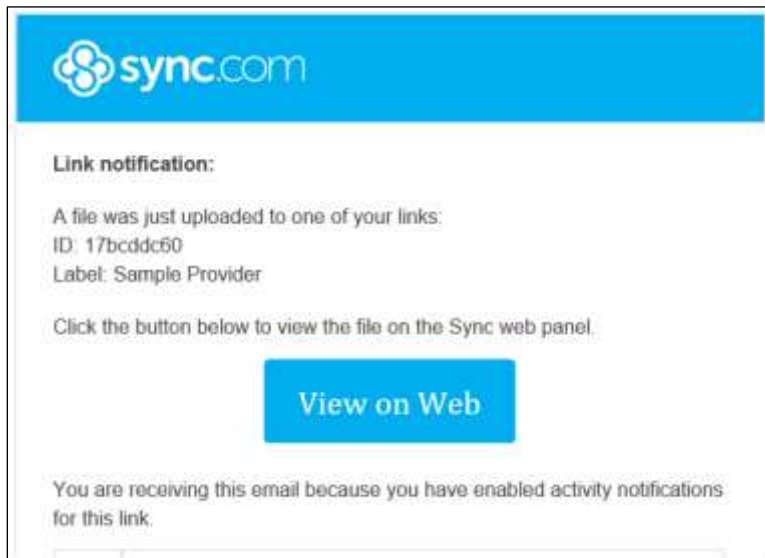
Once the file has finished uploading, the provider staff can choose another file to upload if necessary, or click the Close button. Once they click Close, they WILL NOT see the file(s) in the folder.

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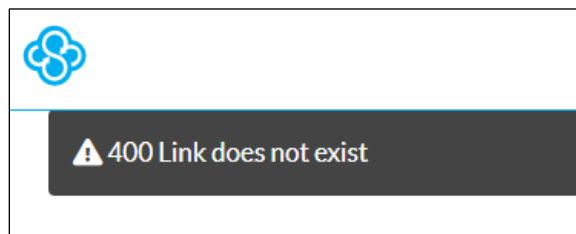
Since files are no longer visible in the provider folder once uploaded, the same link can be reused for different provider staff. For example, in the case of staff turnover, the same link can be used by the new staff as was used by the former staff.



CABHC will receive a notification email when a file has been uploaded.



If the provider staff tries to use a link that has expired or been deleted, they will receive an error. If this occurs, the provider should contact CABHC to receive a new link.



## Communicating File Processing Information

There are several types of problems that may occur with the processing of provider files:

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1. A file does not conform to naming conventions, and so isn't recognized by the ACA automated process. In this situation, the file is not processed at all. CABHC staff will communicate these issues to the provider. CABHC staff will communicate these issues to the provider. The provider will need to correct the problem and resubmit the file.
2. There is an issue in the file header information (e.g., Reporting Month, Submission Date, PROMISE™ ID, etc.) that prevents the service line information in the body of the file from being processed. CABHC staff will communicate these issues to the provider. The provider will need to correct the problem and resubmit the file.
3. There are errors among the service lines reported in the file (e.g., unknown recipient; illogical date; duplicate record, etc.). These errors would not prevent the overall processing of the file.

For each file that is processed, ACA's system will generate reports for CABHC, who may share them with providers. These reports will summarize the file processing and any errors to be corrected.

## Summary

The flowchart shows the overall process flow between CABHC, the provider and ACA. The vast majority of tasks will be handled by CABHC and ACA. The provider will be responsible for uploading files to Sync via the links they receive, interacting with CABHC as needed in the event of file or data problems, and resubmitting files if needed.

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## Appendix

Following is additional information about formatting and file structure for providers using a method other than direct entry to input data.

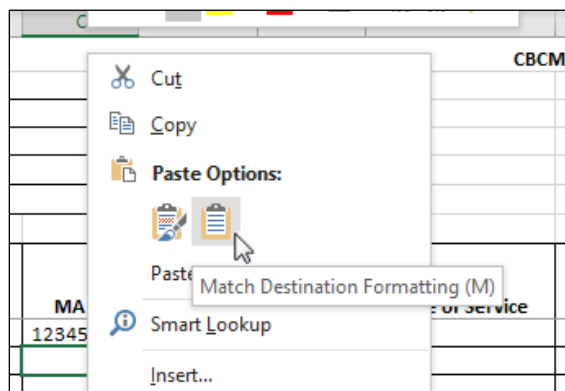
### Data Formatting

#### Provider ID

This cell is formatted to be automatically populated with the 13-digit identifier of the Provider once the Provider Name is chosen. If data is entered via another method that causes the dropdown to be overwritten, this field must be configured so that the leading zeros (0s) for any ID is retained, and 4 zeros are appended to any 9-digit Tax ID to create a 13-digit number.

#### MA ID (aka CIS #)

This cell is formatted as text, which ensures that an ID with a zero (0) as the first one or two digit(s) will be displayed correctly. For those who are using copy/paste from another document to input data, matching the destination formatting of the template will ensure that the leading zero (0) for an ID will not be lost.



For those exporting data from an Electronic Medical Record (EMR) system, the export process must be configured to ensure that the leading zero (0) for any ID is retained.

**NOTE:** It is recommended that providers do not copy and paste directly from PROMISE™ into the template, as this is known to overwrite the format of the field. For example, if providers are looking up MA IDs in PROMISE™, they should type the MA ID into the template. If the same recipient has multiple services, they may then copy and paste the MA ID within the template, as this will not overwrite the format.

#### Dates

Dates must be formatted as follows:

- Date of Submission, Date of Birth and Date of Service must be formatted MM/DD/YYYY
- Reporting Month must be formatted MM/YYYY

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## Place of Service

Place of Service is formatted as a dropdown containing the code and description. Codes must be formatted as two-digit numbers, for example, 02 must be used for telehealth, and 03 for school. NOTE: The description of the Place of Service is not necessary if populating place of service using a method other than the template dropdown.

ce	Place of Service	Uni
	02: Telehealth	
	03: School	
	11: Office	
	12: Home	
	15: Mobile Unit	
	21: Inpatient Hospital	
	23: Emergency Room	
	50: FQHC	

## Units

Units must be positive integers.

## Services

Each cell corresponding to a service rendered must be filled with an "X". No other value or symbol will be recognized.

## File Structure

The file structure must be maintained as it exists in the template. Altering the structure by changing the name of any existing tabs (worksheets) or adding additional tabs will prevent the file from being recognized by the automated process.

Also, a separate file must be submitted for each service month and location, as described in [The Importance of File Naming Conventions](#).

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## Process Flow Diagram

